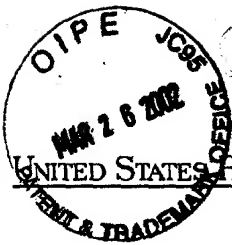


036115



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/981,430	10/15/2001	William S. Borneman	GC362-2D1-US

CONFIRMATION NO. 6652

## FORMALITIES LETTER



\*OC000000007330346\*

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94034-1013COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 01/18/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS


*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS  
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I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

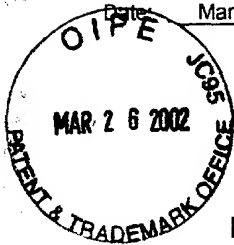
Date: March 13, 2002

By:

*Carol A. See*  
Carol A. See

PATENT

Docket No. GC626-2D1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	
	)	
Borneman et al.	)	Group Art Unit: 1652
	)	
Serial No.: 09/981,430	)	Examiner: Unassigned
	)	
Filed: October 15, 2001	)	
	)	
For: Esterase Enzymes, DNA Encoding	)	
Esterase Enzymes and Vectors and	)	
Host Cells Incorporating Same	)	

**TRANSMITTAL LETTER FOR NOTICE TO FILE**  
**CORRECTED APPLICATION PAPERS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Corrected Application Papers dated January 18, 2002, enclosed please find:

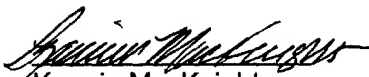
- ☐ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☐ Petition for a \_\_\_\_\_ Month Extension of Time;
- ☐ Assignment document, Form PTO-1595, and the \$40.00 Assignment Recording Fee;
- ☒ Associate Power of Attorney;
- ☒ Preliminary Amendment
- ☐ Statement of Sameness
- ☐ Paper copy of Sequence Listing (      pages)

- ☐ Diskette copy of Sequence Listing
- ☐ Request for Use of an Identical Computer Readable Form in Another Application Under 37 CFR § 1.821(e);
- ☐ Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (copy of Notice to be returned with response);
- ☒ Form PTO-1533 (copy of Notice to be returned with response); and
- ☒ Other: Submission of Formal Drawings and Formal Drawings: Figs 1-7 (12 sheets)
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC362-2D1). A duplicate of this paper is enclosed.

Respectfully submitted,

Date: 3/12/02

  
Kamrin MacKnight  
Registration No. 38,230

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